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August **/ /** 2004

Date

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TRANSMITTAL FORM  (to be used for all correspondence after initial filing)		Application Number	10/807,045		
		Filing Date	March 22, 2004		
		First Named Inventor	Ivan J. Naranjo		
		Art Unit	3742		
		Examiner Name	Unassigned		
Total Number of Pages in This Submission	(	Attorney Docket Number	035695-003		
	ENCLO	SURES (check all that apply)			
Fee Transmittal Form	☐ Drawin	g(s)	After Allowance Communication to Group		
Fee Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Petition to Convert to a Provisional Application		Proprietary Information		
		of Attorney, Revocation e of Correspondence Address	Status Letter		
Extension of Time Request	Terminal Disclaimer		Other Enclosure(s) (please identify below):		
<b>—</b>	Request for Refund		1449 citing 11 pieces of art		
Express Abandonment Request	CD, Number of CD(s)				
☐ Information Disclosure Statement					
Certified Copy of Priority Document(s)	Rema	rks			
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNA	TURE OF	APPLICANT, ATTORNEY, O	R AGENT		
Firm or Individual name Robert E. Krebs, Reg	. No. 25,885				
Signature	10	/m			
Date August					
	ERTIFICA	TE OF TRANSMISSION/MAI	LING		
I hereby certify that this correspondence Service with sufficient postage as first Alexandria, VA 22313-1450 on the date s	class mail ir	simile transmitted to the USPTO an envelope addressed to: Co	or deposited with the United States Postal ommissioner for Patents, P.O. Box 1450,		
Typed or printed name Diane Palmer	•				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ivan J. Naranjo et al.

SERIAL NO.:

10/807,045

FILING DATE:

March 22, 2004

TITLE:

Electric Food Warming System and Method

**EXAMINER:** 

Unassigned

ART UNIT:

3742

## **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Date: 8-10-04

**COMMISSIONER FOR PATENTS** P.O. Box 1450, ALEXANDRIA, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached (unless the blanket waiver referred to below applies), may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to review, consider and document each such item in the official record of this application.

Note: If this box is checked, this case was filed after June 30, 2003 and qualifies for the blanket waiver of deposit of copies of U.S. Patents and U.S. Patent Application

Publications in accordance with the written waiver of 37 CFR §1.98 (a)(2)(i) dated July 11, 2003. Accordingly, such copies are not attached.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

X 37 C.F.R. § 1.97(b). This information disclosure statement is filed either: (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; (3) before the mailing date of a first office action on the merits; or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, whichever event occurs last. Accordingly, this information disclosure statement requires no fee and no certification. 37 C.F.R. § 1.97(c). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of the following: (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application. Accordingly, this information disclosure statement requires either: (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure

- statement under 37 C.F.R. § 1.97(c); or
  - (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).

## 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires:

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); and
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

	II
Fees Due:	
$\boxtimes$	No fee is due.
	The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).
	III
Certification	1:
Continuation	••
$\boxtimes$	No certification is necessary.
	Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:  That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
	Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:  No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this information disclosure statement.

Docket No. 035695-003

IV

I hereby declare that all statements made herein of my own knowledge are true and that

all statements made on information and belief are believed to be true; and further that

these statements were made with the knowledge that willful false statements and the like

so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18

of the United States Code and that such willful false statements may jeopardize the

validity of the application or any patent issued thereon.

Please charge any additional required fee or credit any overpayment to our deposit

account number 50-1698.

Respectfully submitted,

THELEN REID & PRIEST LLP

Dated: August , 2004

Robert E. Krebs

25,885

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Form PTO 1949  U.S. Department of Commerce  Patent and Trademark Office				Atty. Docket No. 035695-003	1	Serial No. 10/807,045				
Information Disclosure Statement by Applicant  (Use several sheets if necessary)					Applicant: Ivan J. Naranjo et al.					
					Filed: 03/22/04	Group: 3742				
			<del></del>	S. PATENT DOCUME	NTC			-		
Init.		Document No.	Date	Name	Class	Subclass	Filing	Date		
	A	D323,094	7/28/1885	Frank F. Wolff			5/2/188			
	В	3,747,507	7/24/73	Lawrie G. McIntosh			3/20/72			
	С	4,901,631	2/20/90	Gordon K. Russell et	al.	1/26/89		39		
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	E	5,735,191	4/7/98	Gordon K. Russell et al.			6/17/97			
	F	6,116,150	9/12/00	Walter Greenfield, Jr.			7/29/99			
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Init.		Document No.	Date	Country	Class	Subclass	Yes	No		
	Т	1,284,342	5/91	CA				<u> </u>		
	U	950,522		CA						
	V	950,523		CA						
	W	2119825	5/90	JP (English abstract)				<u> </u>		
	X	0355992	7/89	EP	Date Cons					

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.